COVID-19 Financial Response

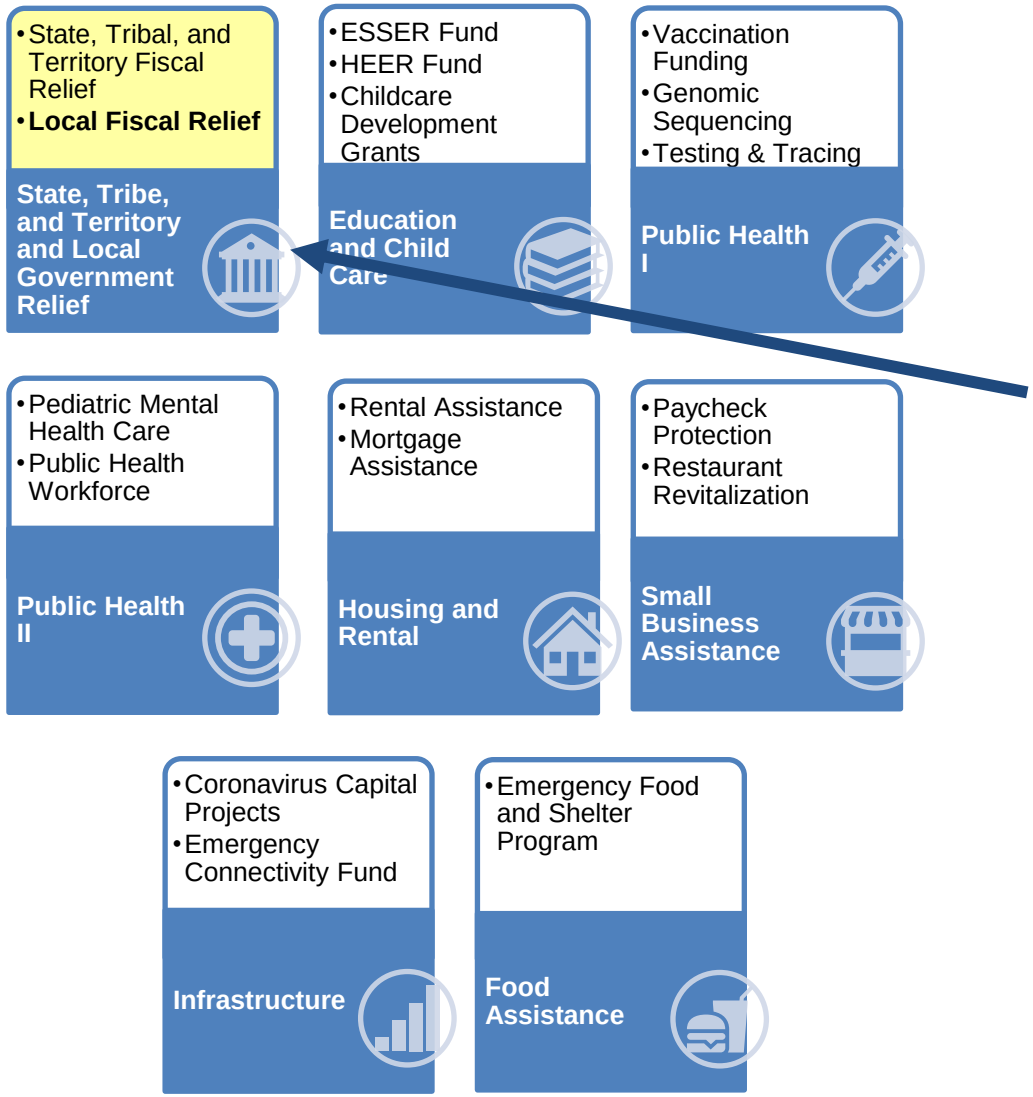
Technical Assistance:
Reporting Webinar

August 24, 2021

Agenda

1. Introductions
2. Overall Reporting Requirements
3. Interim Report
4. Project & Expenditure Report Details

ARPA Background



- On March 11, 2021, President Joe Biden signed into law the $1.9 trillion American Rescue Plan Act (ARPA) package
- The ARPA package includes **$362 billion** in direct federal fiscal recovery aid for state and local governments through the **State & Local Fiscal Recovery Fund (SLFRF)**
- Outside of the SLFRF, ARPA also provides billions to education, public health, housing, small business, infrastructure, and food assistance *(graphic is not an exhaustive list of programs)*
  - Local governments may be able to apply for grants to access other ARPA-funded programs

SLFRF Overview – Eligible Uses

Support Public Health Response

- **COVID-19 Mitigation & Containment:** vaccination programs, ventilation improvements in congregate or health care settings, contract tracing
- **Medical Expenses:** care and services to address near-and longer-term needs
- **Behavioral Healthcare:** mental health treatment, crisis intervention, substance misuse treatment
- **Public Health & Safety Staff:** payroll & benefits

Address Negative Economic Impacts

- **Workers & Families:** assistance to unemployed workers, job training, food, housing, survivor's benefits
- **Small Business:** loans, grants, in-kind and technical assistance
- **Public Sector:** rehire staff, replenish state unemployment insurance, economic relief programs
- **Impacted Industries:** tourism, travel and hospitality, and other affected sectors

Premium Pay for Essential Workers

Provide premium pay to eligible workers performing essential work or to provide grants to third party employers with eligible workers

- **Essential Employees:** janitors/sanitation, public health, social service & safety, childcare & educators, nursing home/hospital staff, government
- **Essential Work:** involving regular in-person interactions
- **Other Provisions:** including retroactive premium pay

Replace Public Sector Revenue Loss

Use funds to provide government services to the extent of the reduction in revenue experienced due to the pandemic

- Lost revenues may be used to **support general government services and infrastructure**

Water and Sewer Infrastructure

Make necessary investment to improve access to clean drinking water and invest in wastewater and stormwater infrastructure

Eligible uses aligned to EPA project categories in:

- Clean Water State Revolving Fund (CWSRF)
- Drinking Water State Revolving Fund (DWSRF)

Broadband Infrastructure

Make necessary investment to provide unserved or underserved locations with new or expanded broadband access

- Fund projects that deliver reliable services – **minimum 100 Mbps download/upload speed**

SLFRF Overview – Ineligible Uses

Deposits to ‘rainy day’ funds or financial reserves
Contributions to rainy day funds and similar financial reserves would not meet pandemic response needs but would rather constitute savings for future spending needs

Deposits into defined benefit pension funds
Funds cannot be used for deposits into defined benefit pension funds; however, funds may be used for routine payroll contributions to pensions of employees whose wages are an eligible use

Debt service
Funds cannot be used to pay debt service for any obligation incurred prior to March 3, 2021

Legal settlements or judgements
Funds cannot be used on legal settlements or judgements except to the extent the judgment or settlement requires the provision of services that would respond to the public health emergency

General infrastructure spending
General infrastructure spending is not covered as an eligible use of funds outside of water, sewer, and broadband investments or above the amount allocated under the revenue loss provision

Non-Federal match for Federal programs
May not be used as non-Federal match for other programs whose statute or regulation bar the use of Federal funds to meet matching requirements

Reporting Requirements

Reporting Roles

SLFRF recipients are required to designate individual(s) for 3 roles to manage reporting prior to accessing Treasury's Portal. The required roles are:

1. Account Administrator:

- Maintains the names and contact information of the designated individuals for SLFRF reporting and is responsible for making any changes or updates to the user roles as needed over the award period
- Responsible for determining designees for the roles of Point of Contact for Reporting, and Authorized Representative for Reporting and providing their names and contact information via Treasury's Portal

2. Point of Contact for Reporting:

- Primary contact for receiving official Treasury notifications about reporting on the SLFRF award, including alerts about upcoming reporting, requirements, and deadlines
- Responsible for completing all SLFRF reports

3. Authorized Representative for Reporting

- Responsible for certifying and submitting official reports as Treasury will accept reports or other official communications only when submitted by the Authorized Representative for Reporting
- Responsible for communications with Treasury on such matters as extension requests and amendments of previously submitted reports

Reporting Overview

Counties, metro cities, and municipalities may be required to submit up to 3 separate report types

1 Interim Report

- First Report Due: **August 31, 2021**
- Reporting Frequency: **One-time**
- 1st Period Covered: March 3 – July 31, 2021
- Provides initial overview of status and uses of funding
- Expenditures and obligations by Expenditure Category at the summary level, *not project level*

2 Recovery Plan Performance Report ("Recovery Plan")

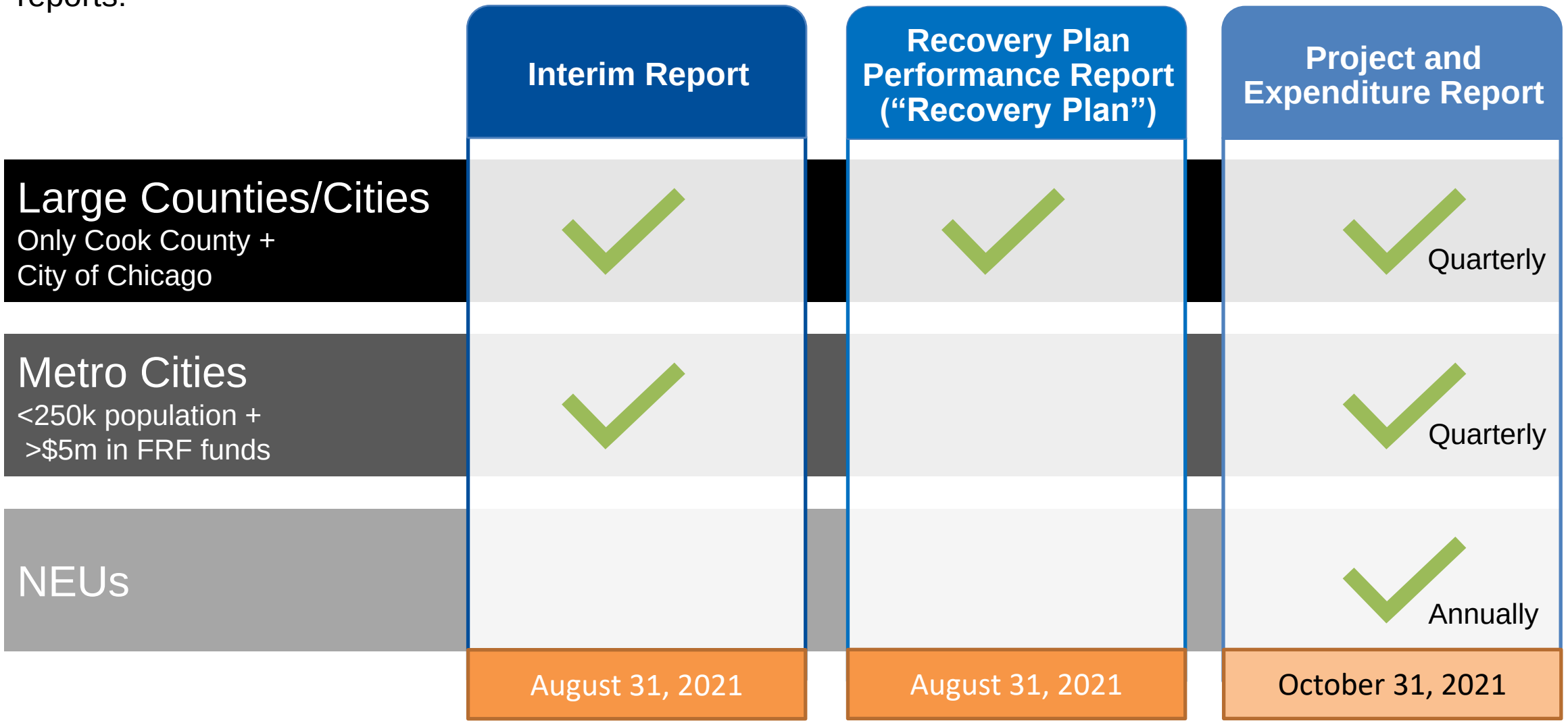
- First Report Due: **August 31, 2021**
- Reporting Frequency: **Annually**
- 1st Period Covered: March 3 – July 31, 2021
- **RPPs will cover a 12-month period (July – June).** The County will be required to submit the report to Treasury and post the report on its public-facing website within 30 days after each 12-month period
- Key performance indicators identified by the recipient and some mandatory indicators identified by Treasury

3 Project & Expenditure Report

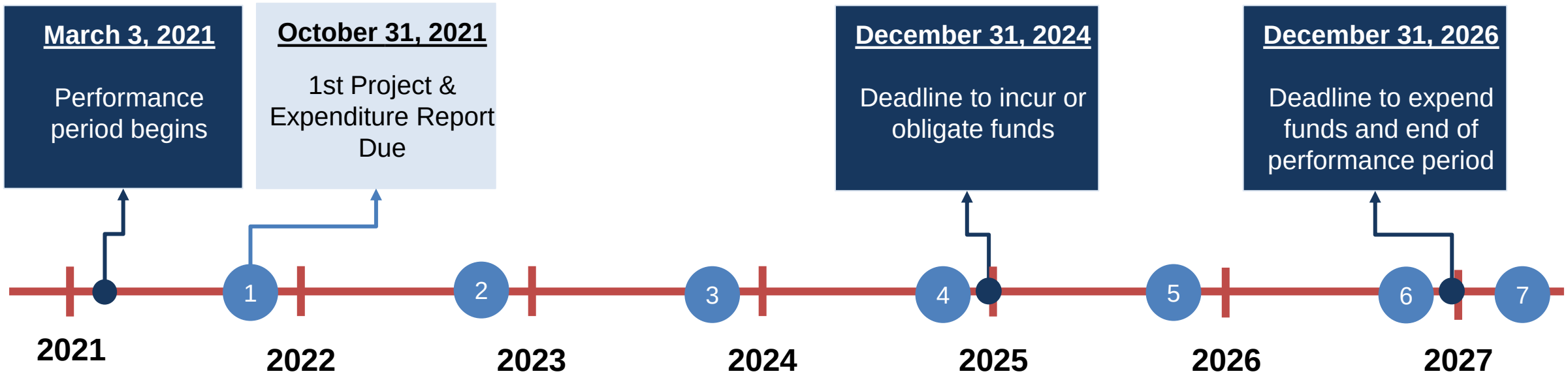
- First Report Due: **October 31, 2021**
- Reporting Frequency: **Annually (NEUs); Quarterly (Metro Cities)**
- 1st Period Covered: March 3 – September 30, 2021
- Subsequent reports **due within 30 days** after the end of each calendar quarter
- Report on projects funded, expenditures, contracts, and subawards over $50,000
- Same general data as the reports submitted for CRF, with some modifications to classifications and additions of data elements related to eligible uses

Required Reporting

All Cook County municipalities fall into 3 recipient categories and are required to submit the following reports:



NEUs: Key Dates



Project & Expenditure Reports Due

Report #	Period Covered	Due Date
1	Mar 3, 2021 – Sep 30, 2021	October 31, 2021
2	Oct 1, 2021 – Sep 30, 2022	October 31, 2022
3	Oct 2, 2022 – Sep 30, 2023	October 31, 2023
4	Oct 2, 2023 – Sep 30, 2024	October 31, 2024
5	Oct 2, 2024 – Sep 30, 2025	October 31, 2025
6	Oct 2, 2025 – Sep 30, 2026	October 31, 2026
7	Oct 2, 2026 – Dec 31, 2026	March 31, 2027

Metro Cities: Key Dates

March 3, 2021

Performance period begins

October 31, 2021

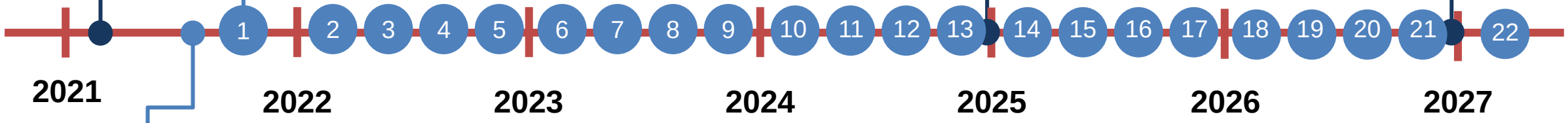
1st Project & Expenditure Report Due

December 31, 2024

Deadline to incur or obligate funds

December 31, 2026

Deadline to expend funds and end of performance period



August 31, 2021

Interim Report due (Period Mar 3 – July 31)

Project & Expenditure Reports Due

Report #	Period Covered	Due Date
1	Mar 3 – Sep 30, 2021	Oct 31, 2021
2	Oct 1 – Dec 31, 2021	Jan 31, 2022
3	Jan 1 – Mar 31, 2022	Apr 30, 2022
4	Apr 1 – Jun 30, 2022	Jul 31, 2022
5	Jul 1 – Sep 30, 2022	Oct 31, 2022
6	Oct 1 – Dec 31, 2022	Jan 31, 2023
7	Jan 1 – Mar 31, 2023	Apr 30, 2023
8	Apr 1 – Jun 30, 2023	Jul 31, 2023
9	Jul 1 – Sep 30, 2023	Oct 31, 2023
10	Oct 1 – Dec 31, 2023	Jan 31, 2024
11	Jan 1 – Mar 31, 2024	Apr 30, 2024

Report #	Period Covered	Due Date
12	Apr 1 – Jun 30, 2024	Jul 31, 2024
13	Jul 1 – Sep 30, 2024	Oct 31, 2024
14	Oct 1 – Dec 31, 2024	Jan 31, 2025
15	Jan 1 – Mar 31, 2025	Apr 30, 2025
16	Apr 1 – Jun 30, 2025	Jul 31, 2025
17	Jul 1 – Sep 30, 2025	Oct 31, 2025
18	Oct 1 – Dec 31, 2025	Jan 31, 2026
19	Jan 1 – Mar 31, 2026	Apr 30, 2026
20	Apr 1 – Jun 30, 2026	Jul 31, 2026
21	Jul 1 – Sep 30, 2026	Oct 31, 2026
22	Oct 1 – Dec 31, 2026	Mar 31, 2027

Reporting Concepts

Reporting is required at the Expenditure Category and/or Project Level, depending on the report

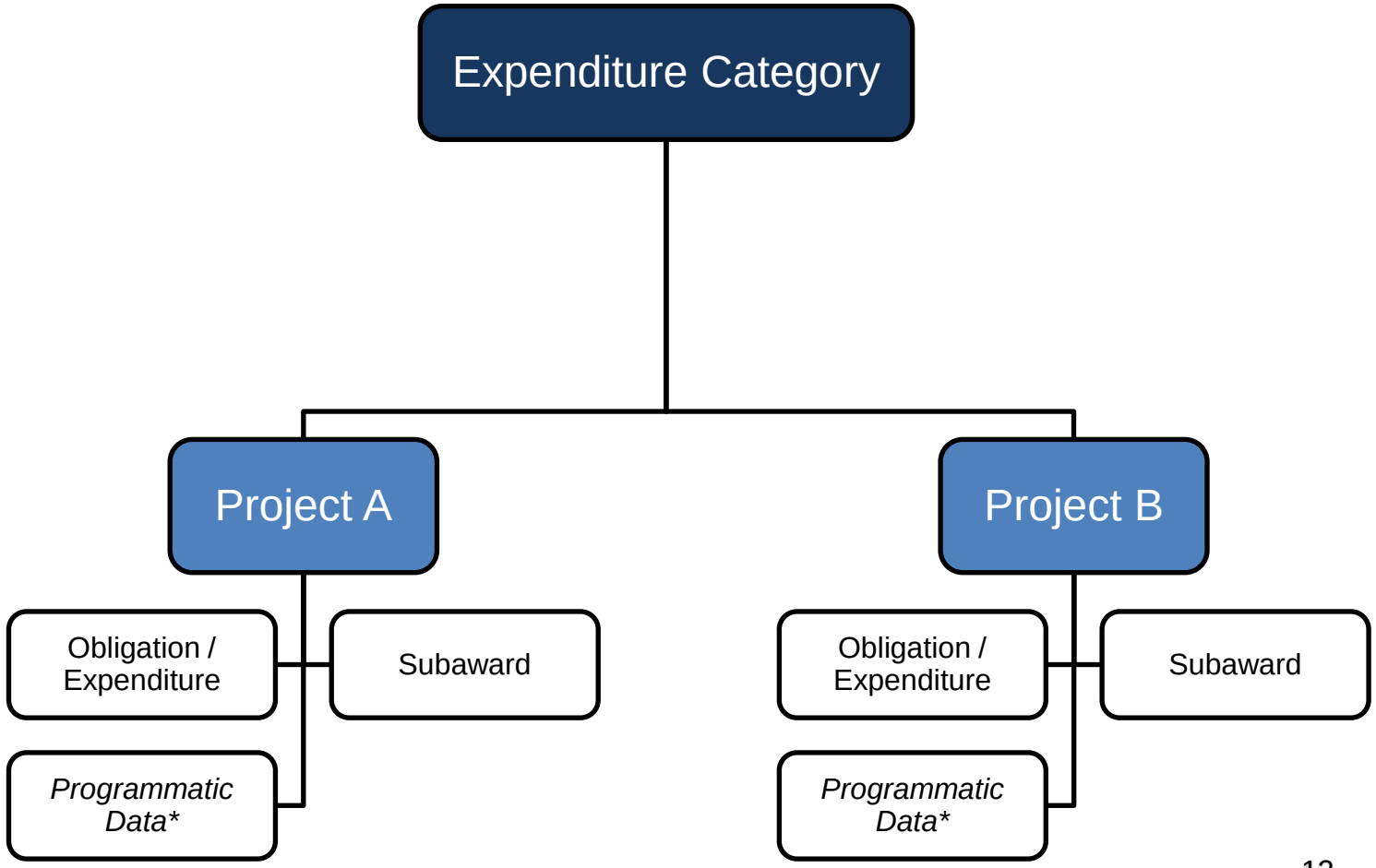
Expenditure Category

- Federal coding system to track how funding is used and identify where additional programmatic data is required
- 66 total categories within 7 broader categories

Project Level

- There can be multiple projects within an Expenditure Category
- Each project may only be aligned to a single Expenditure Category
- Projects should be defined to include only closely related activities directed toward a common purpose

Classification of Funds



**Treasury will collect some programmatic data at both the expenditure category level and the project level*

Expenditure Categories (EC)

There are 66 Expenditure Categories (EC) within 7 broad categories

1: Public Health		Use of Evidence*	Disadvantaged Communities**
1.1	COVID-19 Vaccination		✓
1.2	COVID-19 Testing		✓
1.3	COVID-19 Contact Tracing		
1.4	Prevention in Congregate Settings (Nursing Homes, Prisons/Jails, Dense Work Sites, Schools, etc.)	✓	
1.5	Personal Protective Equipment		
1.6	Medical Expenses (including Alternative Care Facilities)		
1.7	Capital Investments or Physical Plant Changes to Public Facilities that respond to the COVID-19 public health emergency		
1.8	Other COVID-19 Public Health Expenses (including Communications, Enforcement, Isolation/Quarantine)		
1.9	Payroll Costs for Public Health, Safety, and Other Public Sector Staff Responding to COVID-19		
1.10	Mental Health Services	✓	
1.11	Substance Use Services	✓	
1.12	Other Public Health Services		
2: Negative Economic Impacts			
2.1	Household Assistance: Food Programs	✓	✓
2.2	Household Assistance: Rent, Mortgage, and Utility Aid	✓	✓
2.3	Household Assistance: Cash Transfers	✓	✓
2.4	Household Assistance: Internet Access Programs	✓	✓
2.5	Household Assistance: Eviction Prevention	✓	✓
2.6	Unemployment Benefits or Cash Assistance to Unemployed Workers	✓	
2.7	Job Training Assistance (e.g., Sectoral job-training, Subsidized Employment, Employment Supports or Incentives)	✓	✓
2.8	Contributions to UI Trust Funds		
2.9	Small Business Economic Assistance (General)	✓	✓
2.10	Aid to Nonprofit Organizations	✓	
2.11	Aid to Tourism, Travel, or Hospitality		
2.12	Aid to Other Impacted Industries		
2.13	Other Economic Support	✓	✓
2.14	Rehiring Public Sector Staff		

3: Services to Disproportionately Impacted Communities		Use of Evidence*	Disadvantaged Communities**
3.1	Education Assistance: Early Learning	✓	✓
3.2	Education Assistance: Aid to High-Poverty Districts		✓
3.3	Education Assistance: Academic Services	✓	✓
3.4	Education Assistance: Social, Emotional, and Mental Health Services	✓	✓
3.5	Education Assistance: Other	✓	✓
3.6	Healthy Childhood Environments: Child Care	✓	✓
3.7	Healthy Childhood Environments: Home Visiting	✓	✓
3.8	Healthy Childhood Environments: Services to Foster Youth or Families Involved in Child Welfare System	✓	✓
3.9	Healthy Childhood Environments: Other	✓	✓
3.10	Housing Support: Affordable Housing	✓	✓
3.11	Housing Support: Services for Unhoused Persons	✓	✓
3.12	Housing Support: Other Housing Assistance	✓	✓
3.13	Social Determinants of Health: Other	✓	✓
3.14	Social Determinants of Health: Community Health Workers or Benefits Navigators	✓	✓
3.15	Social Determinants of Health: Lead Remediation		✓
3.16	Social Determinants of Health: Community Violence Interventions	✓	✓
4: Premium Pay			
4.1	Public Sector Employees		
4.2	Private Sector: Grants to Other Employers		
5: Infrastructure			
5.1	Clean Water: Centralized Wastewater Treatment		
5.2	Clean Water: Centralized Wastewater Collection and Conveyance		
5.3	Clean Water: Decentralized Wastewater		
5.4	Clean Water: Combined Sewer Overflows		
5.5	Clean Water: Other Sewer Infrastructure		
5.6	Clean Water: Stormwater		
5.7	Clean Water: Energy Conservation		
5.8	Clean Water: Water Conservation		
5.9	Clean Water: Nonpoint Source		
5.10	Drinking water: Treatment		
5.11	Drinking water: Transmission & Distribution		
5.12	Drinking water: Transmission & Distribution: Lead Remediation		
5.13	Drinking water: Source		
5.14	Drinking water: Storage		
5.15	Drinking water: Other water infrastructure		
5.16	Broadband: "Last Mile" projects		
5.17	Broadband: Other projects		

6: Revenue Replacement		Use of Evidence*	Disadvantaged Communities**
6.1	Provision of Government Services		
7: Administrative			
7.1	Administrative Expenses		
7.2	Evaluation and Data Analysis		
7.3	Transfers to Other Units of Government		
7.4	Transfers to Non-entitlement Units (States and territories only)		

***27 categories require** recipients to identify the amount of the total funds that are allocated to **evidence-based interventions** in the annual **Recovery Plan Report**

****26 categories** require recipients to report on whether projects are primarily servicing **disadvantaged communities** in the quarterly **Project & Expenditure Report**

Reporting Tips

Some tips to ensure that you meet the reporting requirements:

1. Develop and implement internal controls to ensure that funding decisions constitute eligible uses of funds and document determinations.
2. Develop an approach to track expenditure activity. Questions to consider:
    - How are expenditures tracked by category and by project? What system is used?
    - How are direct costs *(ex: contract support, materials, and supplies for a project)* **and** indirect costs *(ex: overhead, facilities, or administrative functional costs)* tracked?
    - Are reports easily run for projects or subawards which provides necessary reporting details?
    - How are required performance reporting metrics captured?
3. Determine a subrecipient monitoring process.
    1. Federal funding guidelines require management and monitoring of subrecipients to ensure compliance.
4. Maintain all financial records.
    - Financial records and supporting documents related to the award must be retained for 5 years after all funds have been expended or returned to Treasury, whichever is later

Internal Controls

Internal Controls Best Practices from the U.S. Treasury:

Best Practice	Description	Example
1. Written policies and procedures	Formal documentation of recipient policies and procedures	Documented procedure for determining worker eligibility for premium pay
2. Written standards of conduct	Formal statement of mission, values, principles, and professional standards	Documented code of conduct / ethics for subcontractors
3. Risk-based due diligence	Pre-payment validations conducted according to an assessed level of risk	Enhanced eligibility review of subrecipient with imperfect performance history
4. Risk-based compliance monitoring	Ongoing validations conducted according to an assessed level of risk	Higher degree of monitoring for projects that have a higher risk of fraud, given program characteristics
5. Record maintenance and retention	Creation and storage of financial and non-financial records	Storage of all subrecipient payment information

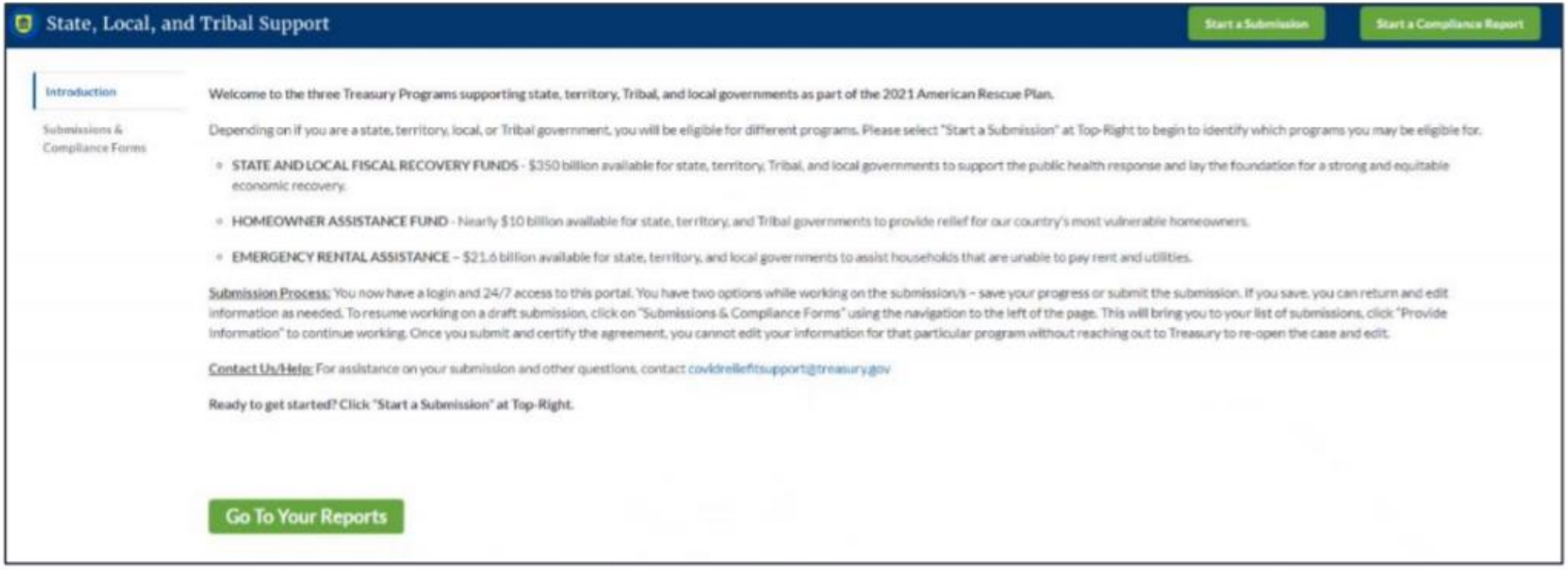
Source: Compliance and Reporting Guidelines

Interim Report

Interim Report – Overview

- U.S. Treasury released the recipient reporting User Guide on August 9th, 2021
- The User Guide is a supplement to the Compliance and Reporting Guidance
- The User Guide contains detailed instructions for navigating the Treasury's portal to submit the Interim Report
- The following slides provide an overview of the User Guide for the Interim Report

Portal Landing Page



Interim Report – Recipient Information

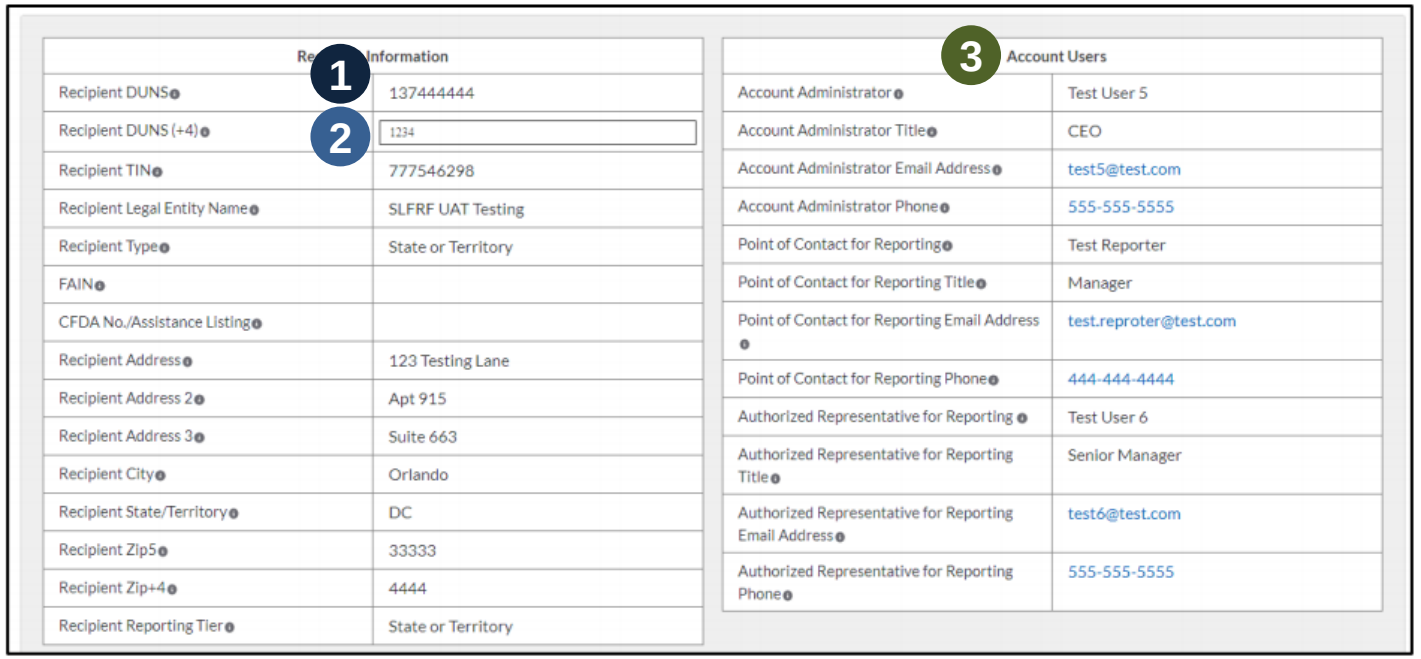
1. Review and confirm your Recipient Profile pre-populated from your SLFRF Application file

2. Update Recipient DUNS (+4) number, if necessary

3. Verify the names and contact information for individuals the recipient has designated for key roles for the SLFRF program displayed on the screen

4. Use the textbox to flag errors, notifying Treasury if any information incorrect (including if account users are inaccurate)

Recipient Information Entry Screen



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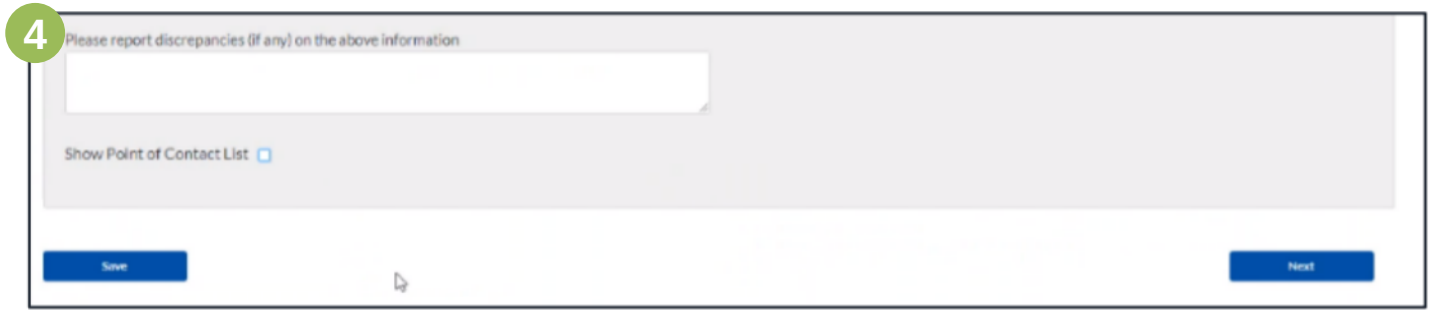

Interim Report - Expenditure Category Reporting

One-time report providing initial uses of funding between **March 3 – July 31, 2021**.

- Report must provide a breakdown of obligations & expenditures by each of the 66 Expenditure Categories
- Report appears similar to the CARES Act Coronavirus Relief Fund

Example:

ID	Expenditure Category	Cumulative Obligations	Cumulative Expenditures
2	Negative Economic Impacts		
2.1	Household Assistance: Food Programs	$1,000,000	$1,000,000
2.2	Household Assistance: Rent, Mortgage, and Utility Aid	$2,000,000	$500,000
2.3	Household Assistance: Cash Transfers	$5,000,000	$0

Expenditure Categories

1: Public Health	
1.1	COVID-19 Vaccination ^
1.2	COVID-19 Testing ^
1.3	COVID-19 Contact Tracing
1.4	Prevention in Congregate Settings (Nursing Homes, Prisons/Jails, Dense Work Sites, Schools, etc.)*
1.5	Personal Protective Equipment
1.6	Medical Expenses (including Alternative Care Facilities)
1.7	Capital Investments or Physical Plant Changes to Public Facilities that respond to the COVID-19 public health emergency
1.8	Other COVID-19 Public Health Expenses (including Communications, Enforcement, Isolation/Quarantine)
1.9	Payroll Costs for Public Health, Safety, and Other Public Sector Staff Responding to COVID-19
1.10	Mental Health Services*
1.11	Substance Use Services*
1.12	Other Public Health Services
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2.2	Household Assistance: Rent, Mortgage, and Utility Aid* ^
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2.9	Small Business Economic Assistance (General)* ^
2.10	Aid to Nonprofit Organizations*
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2.13	Other Economic Support* ^
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3: Services to Disproportionately Impacted Communities	
3.1	Education Assistance: Early Learning* ^
3.2	Education Assistance: Aid to High-Poverty Districts ^
3.3	Education Assistance: Academic Services* ^
3.4	Education Assistance: Social, Emotional, and Mental Health Services* ^
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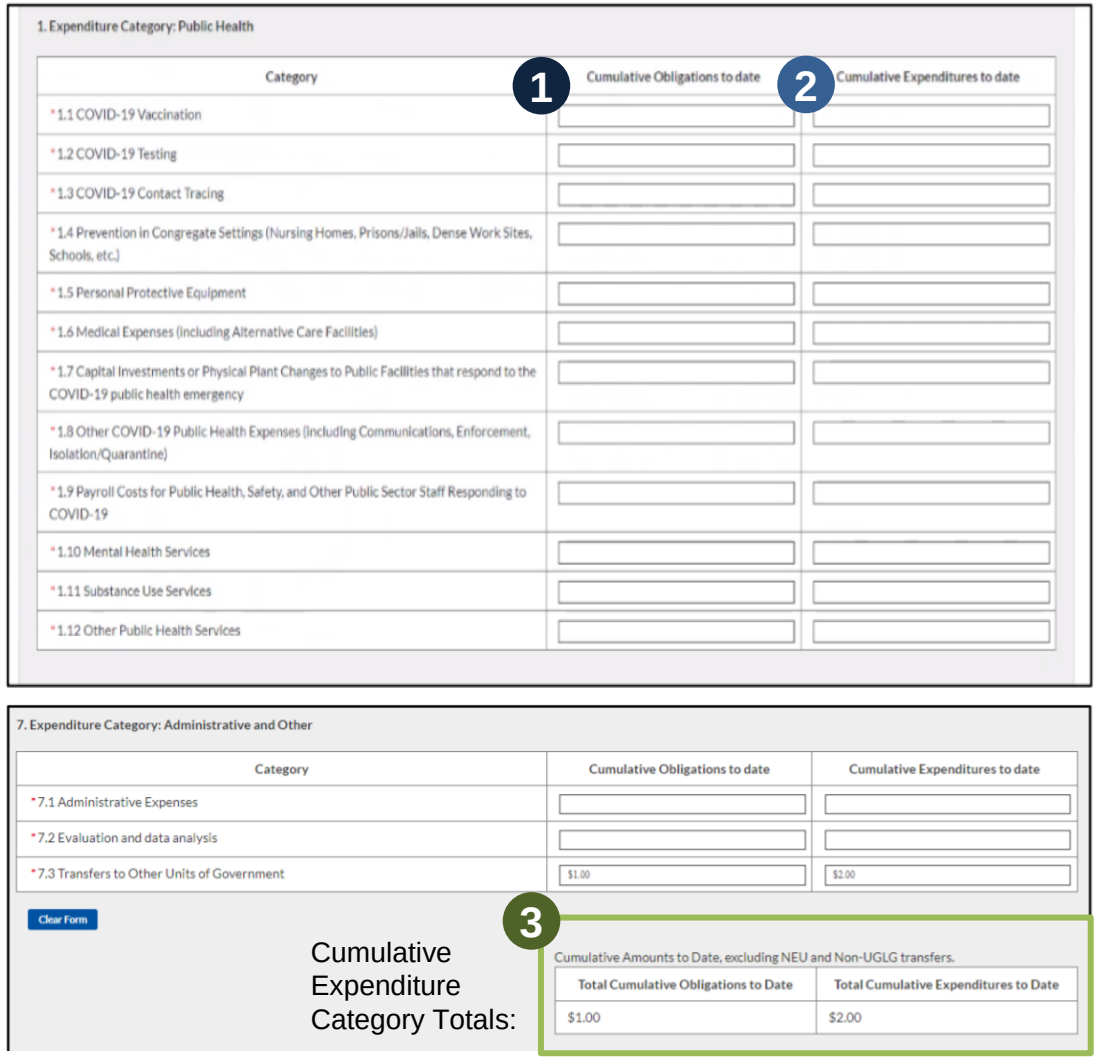
Interim Report - Expenditure Category Reporting

Expenditure Category Reporting Sample



1. Expenditure Category: Public Health

Category	Cumulative Obligations to date	Cumulative Expenditures to date
*1.1 COVID-19 Vaccination		
*1.2 COVID-19 Testing		
*1.3 COVID-19 Contact Tracing		
*1.4 Prevention in Congregate Settings (Nursing Homes, Prisons/Jails, Dense Work Sites, Schools, etc.)		
*1.5 Personal Protective Equipment		
*1.6 Medical Expenses (including Alternative Care Facilities)		
*1.7 Capital Investments or Physical Plant Changes to Public Facilities that respond to the COVID-19 public health emergency		
*1.8 Other COVID-19 Public Health Expenses (including Communications, Enforcement, Isolation/Quarantine)		
*1.9 Payroll Costs for Public Health, Safety, and Other Public Sector Staff Responding to COVID-19		
*1.10 Mental Health Services		
*1.11 Substance Use Services		
*1.12 Other Public Health Services		

7. Expenditure Category: Administrative and Other

Category	Cumulative Obligations to date	Cumulative Expenditures to date
*7.1 Administrative Expenses		
*7.2 Evaluation and data analysis		
*7.3 Transfers to Other Units of Government	$1.00	$2.00

Clear Form

Cumulative Expenditure Category Totals:

Cumulative Amounts to Date, excluding NEU and Non-UGLG transfers.

Total Cumulative Obligations to Date	Total Cumulative Expenditures to Date
$1.00	$2.00

1. Enter ‘Cumulative Obligations to Date’ and ‘Cumulative Expenditures to Date’ for each expenditure category

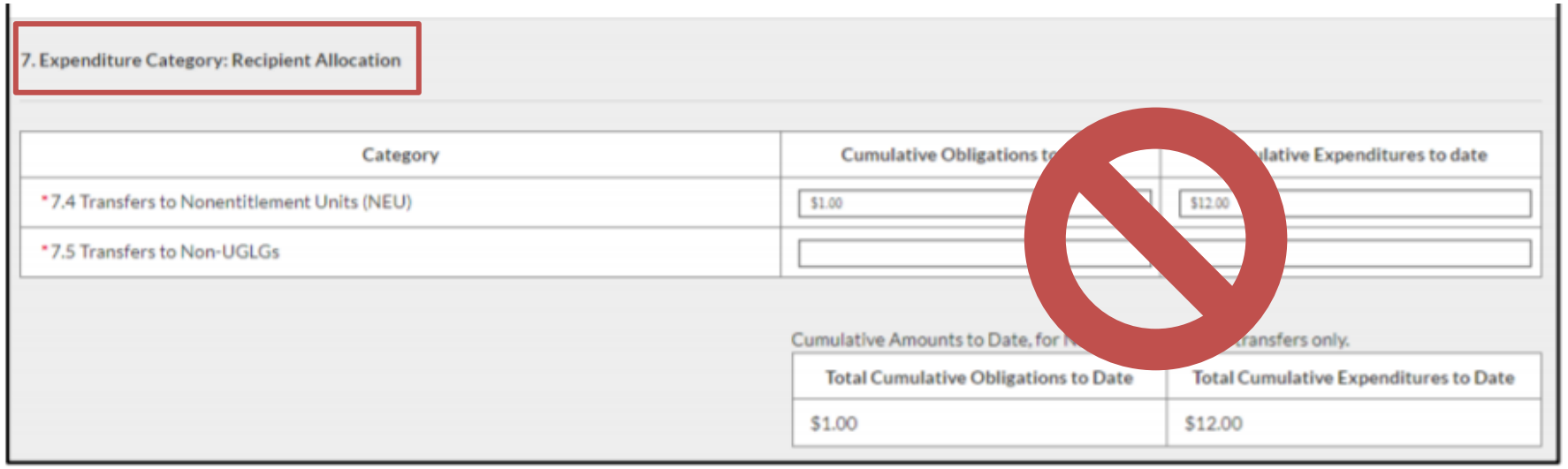
2. Leave expenditure categories that do not apply blank

3. Review and verify the auto-calculated totals generated from the reporting fields.
   1. This represents the totals for ***all*** expenditure categories 1.1 through 7.3

For dollar amounts, enter whole numbers only and do not use dollar signs

Interim Report - Expenditure Category Reporting

NOTE: You will see a “Recipient Allocation” section. This is only applicable to State and U.S. Territories, so **ignore this section and leave it blank**

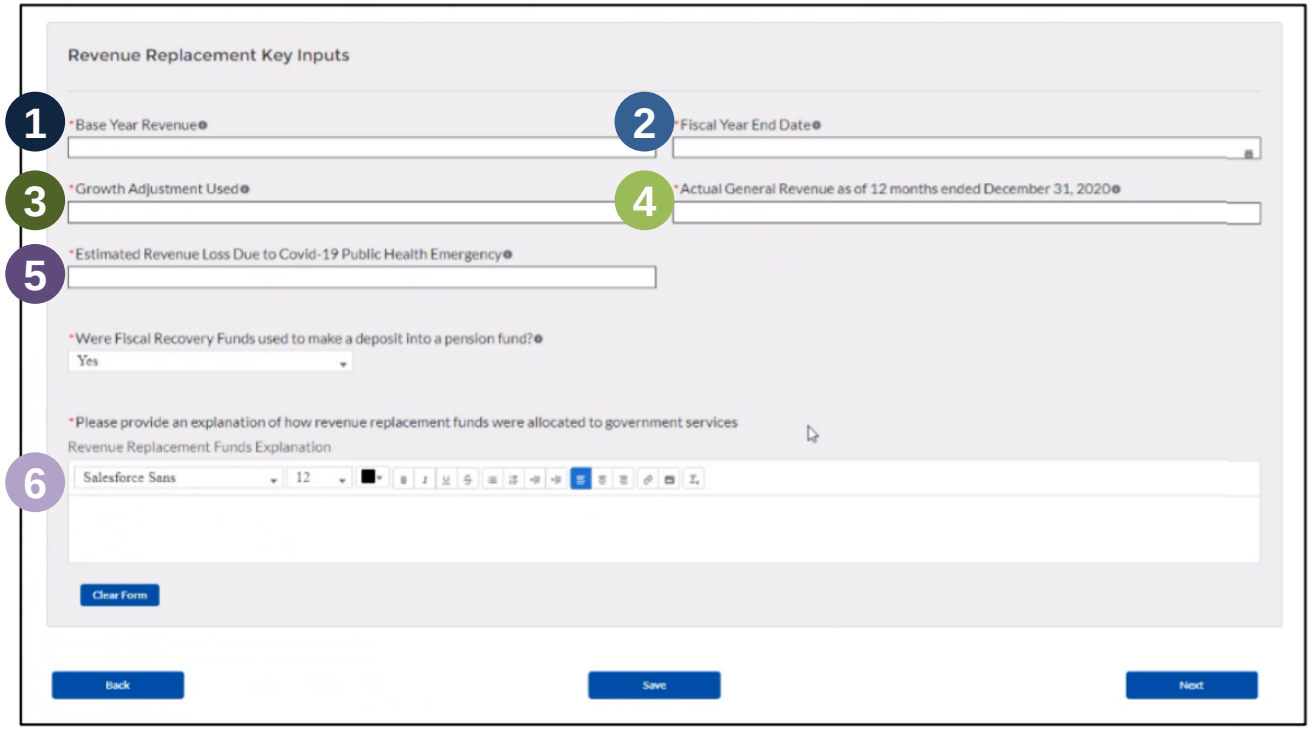


Interim Report – Revenue Replacement

If Metro Cities plan to or already have expenditures in the Revenue Replacement category, they will be required to provide the following programmatic data:

1. Base year general revenue
2. Fiscal year end date
3. Growth adjustment used (4.1% or 3-yr. historical growth rate)
4. Actual general revenue as of the twelve months ended December 31, 2020
5. Estimated revenue loss due to the Covid-19 public health emergency as of December 31, 2020
6. An explanation of how revenue replacement funds were allocated to government services

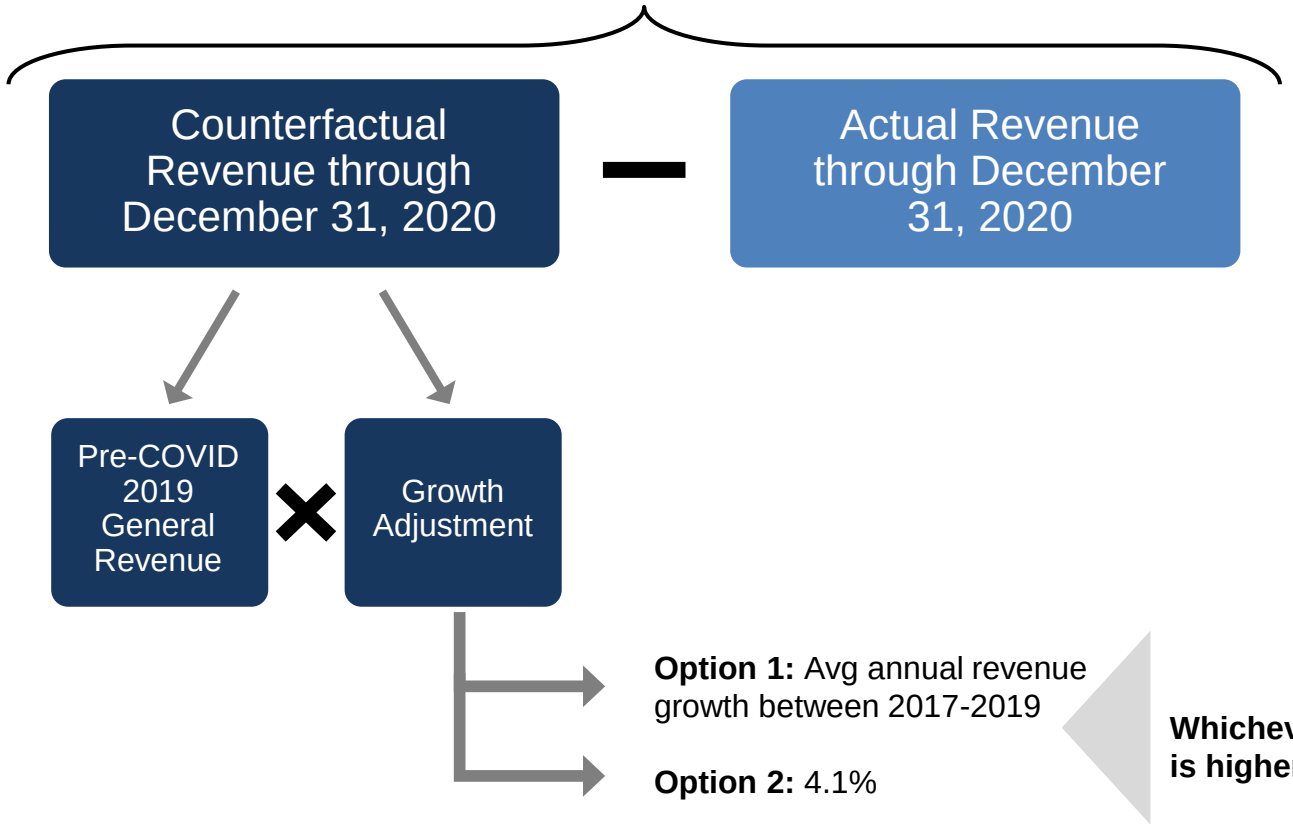
Revenue Replacement Entry Screen



For future calculation dates revenue loss will be reported only in the Quarter 4 reports due January 31, 2022, January 31, 2023, and January 31, 2024

Revenue Reduction Calculation

2020 Revenue Reduction Calculation Overview



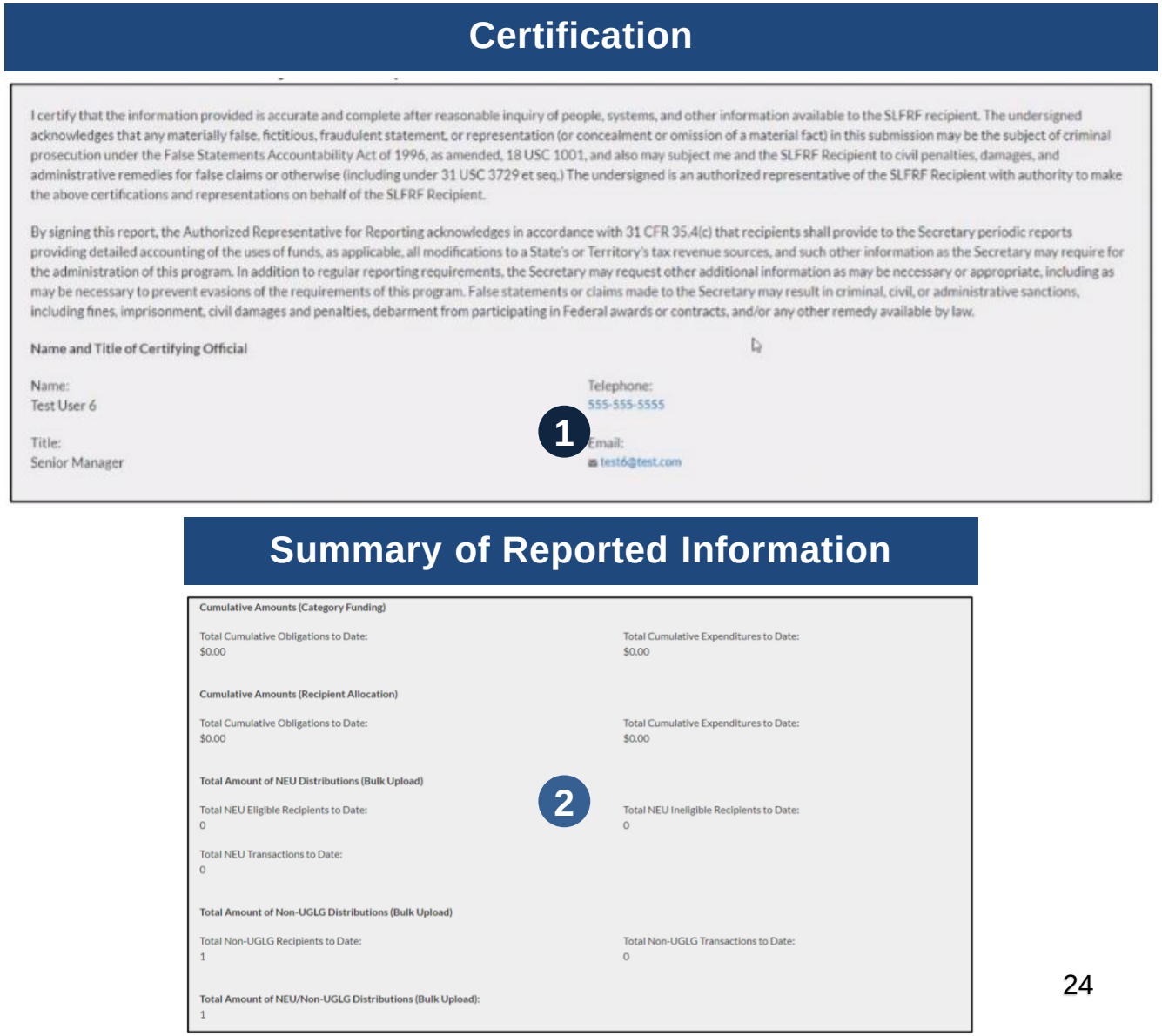
- **Step 1** • Determine eligible “general revenues” and calculate Base Year Revenue
- **Step 2** • Calculate Counterfactual (4.1% or 3-yr historical)
- **Step 3** • Determine Actual Revenue
- **Step 4** • Subtract Actual Revenue from Counterfactual Revenue
- **Step 5** • Report to U.S. Treasury

Losses are determined by calculating counterfactual revenue to estimate revenue growth absent the pandemic

Interim Report – Certification

1. ARR's Name, Title, Telephone Number, and E-Mail Address will be presented for review
2. Allow the Certifying Official to review all prior screens and entries to verify accuracy of entered information and data

- The Authorized Representative for Reporting (ARR) will be asked to certify information pertaining to the Interim Report
- **By certifying this submission, the ARR is confirming that all reported information is accurate and approved for submission**
- Users who are not designated as an ARR will not be presented with these screens



Interim Report – FAQs

1. **Question:** We are an NEU – do we need to submit an Interim Report?

   Answer: *No. Only states, metro cities, and counties are required to submit an Interim Report*

2. **Question:** We have not spent any ARPA funds between March 3 and July 31, 2021. Are we still required to submit an Interim Report?

   Answer: *Yes, you will still need to submit an Interim Report, which will show $0 funds spent for each Expenditure Category*

Project & Expenditure Report

Project & Expenditure Report

Quarterly Report for Metro Cities
Annual Report for NEUs

- Detailed project-level report on projects funded, expenditures, and contracts and subawards over $50,000, and other information
- The initial Project & Expenditure Report will **cover 2 calendar quarters** from March 3, 2021, to Sep 30, 2021, and is **due Oct 31, 2021**
  - Report submitted within 30 days after each quarter end going forward for Metro Cities
- Final report will be due March 31, 2027
- Intent of the report:
  - Provide the public and Treasury information on financial data, information on contracts and subawards over $50,000, types of projects funded, and other information regarding a recipient's utilization of award funds

Project & Expenditure Report Requirements by Section

Section #	Report Data* (min. req.)	Description
1	**Project Information**	Each project must be aligned to a single Expenditure Category. Information includes: project name, identification number, project Expenditure Category, description, and status of completion
2	**Expenditures**	Project-level report on current period obligation, cumulative obligation, current period expenditure, and cumulative expenditure
3	**Project Status**	Project status which can be one of the following: Not Started, Completed less than 50%, Completed 50% or more, Completed
4	***Project Demographic Distribution***	Report on whether certain types of projects within certain Expenditure Categories are targeted to economically disadvantaged communities, as defined by HUD's Qualified Census Tract
5	**Subawards**	Obligation and expenditure information for any contracts and grants awarded, loans issued, transfers made to other government entities, and direct payments made by the recipient that are greater than or equal to $50,000
6	**Civil Rights Compliance**	Treasury will request information on recipients' compliance with Title VI of the Civil Rights Act of 1964 on an *annual* basis which may include a narrative describing compliance with Title VI
7	***Required Programmatic Data (Non-Infrastructure Projects)***	Provide specific programmatic data requirements for non-infrastructure project dependent on each Expenditure Category
8	***Required Programmatic Data for Infrastructure Projects***	All Infrastructure projects (water, sewer, and broadband) must report detailed project level information and location, and additional labor data points for projects over $10 million

*Treasury will provide a recommended template but recipients may modify this template as appropriate for their jurisdiction.

Section # 4: Project Demographic Distribution

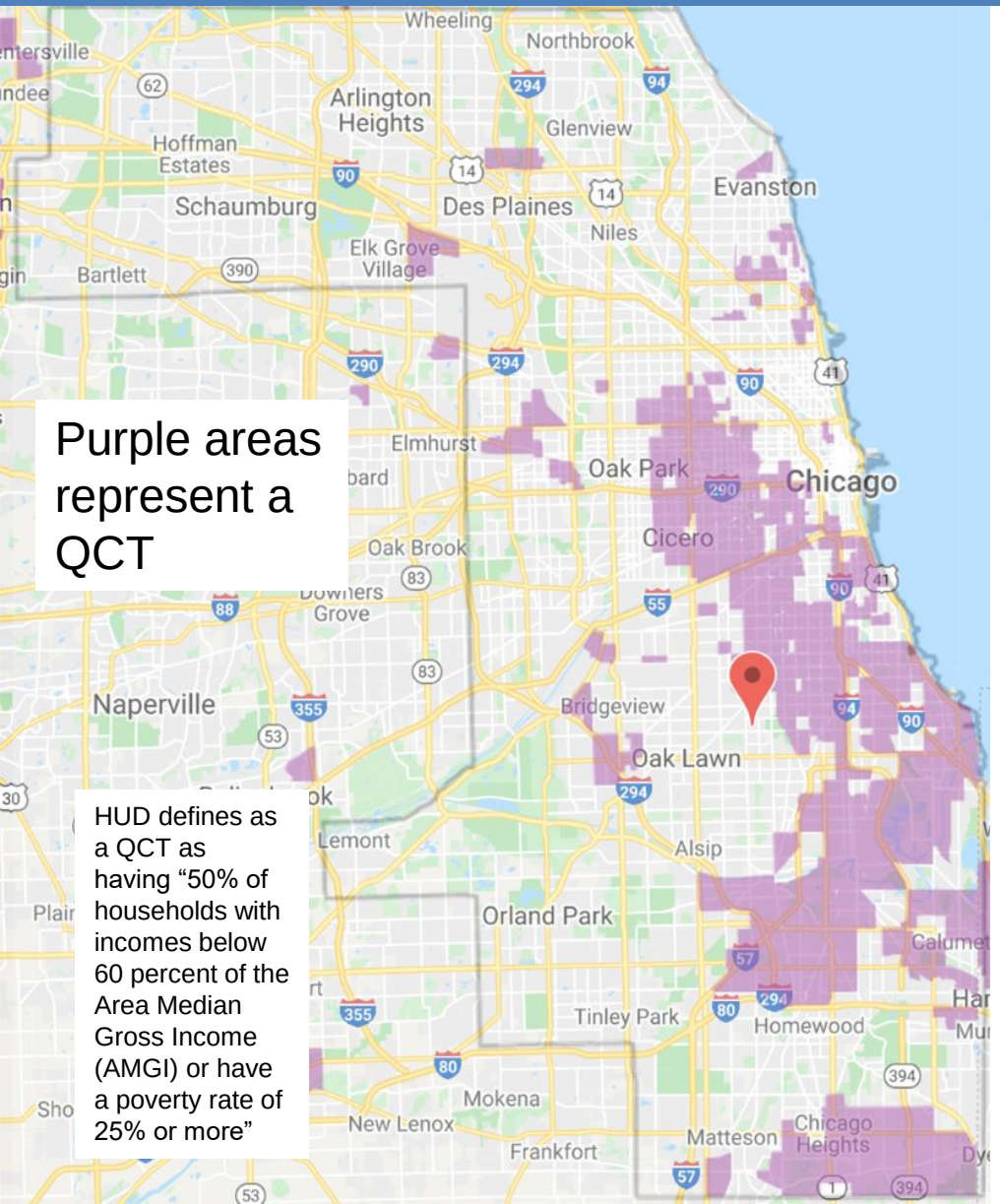
26 expenditure categories require recipients to report on whether projects are primarily servicing **disadvantage communities**

1: Public Health	Use of Evidence*	Disadvantaged Communities**
1.1 COVID-19 Vaccination		✓
1.2 COVID-19 Testing		✓
1.3 COVID-19 Contact Tracing		
1.4 Prevention in Congregate Settings (Nursing Homes, Prisons/Jails, Dense Work Sites, Schools, etc.)	✓	
1.5 Personal Protective Equipment		
1.6 Medical Expenses (including Alternative Care Facilities)		
1.7 Capital Investments or Physical Plant Changes to Public Facilities that respond to the COVID-19 public health emergency		
1.8 Other COVID-19 Public Health Expenses (including Communications, Enforcement, Isolation/Quarantine)		
1.9 Payroll Costs for Public Health, Safety, and Other Public Sector Staff Responding to COVID-19		
1.10 Mental Health Services	✓	
1.11 Substance Use Services	✓	
1.12 Other Public Health Services		
2: Negative Economic Impacts		
2.1 Household Assistance: Food Programs	✓	✓
2.2 Household Assistance: Rent, Mortgage, and Utility Aid	✓	✓
2.3 Household Assistance: Cash Transfers	✓	✓
2.4 Household Assistance: Internet Access Programs	✓	✓
2.5 Household Assistance: Eviction Prevention	✓	✓
2.6 Unemployment Benefits or Cash Assistance to Unemployed Workers	✓	
2.7 Job Training Assistance (e.g., Sectoral job-training, Subsidized Employment, Employment Supports or Incentives)	✓	✓
2.8 Contributions to UI Trust Funds		
2.9 Small Business Economic Assistance (General)	✓	✓
2.10 Aid to Nonprofit Organizations	✓	
2.11 Aid to Tourism, Travel, or Hospitality		
2.12 Aid to Other Impacted Industries		
2.13 Other Economic Support	✓	✓
2.14 Rehiring Public Sector Staff		

3: Services to Disproportionately Impacted Communities	Use of Evidence*	Disadvantaged Communities**
3.1 Education Assistance: Early Learning	✓	✓
3.2 Education Assistance: Aid to High-Poverty Districts		✓
3.3 Education Assistance: Academic Services	✓	✓
3.4 Education Assistance: Social, Emotional, and Mental Health Services	✓	✓
3.5 Education Assistance: Other	✓	✓
3.6 Healthy Childhood Environments: Child Care	✓	✓
3.7 Healthy Childhood Environments: Home Visiting	✓	✓
3.8 Healthy Childhood Environments: Services to Foster Youth or Families Involved in Child Welfare System	✓	✓
3.9 Healthy Childhood Environments: Other	✓	✓
3.10 Housing Support: Affordable Housing	✓	✓
3.11 Housing Support: Services for Unhoused Persons	✓	✓
3.12 Housing Support: Other Housing Assistance	✓	✓
3.13 Social Determinants of Health: Other	✓	✓
3.14 Social Determinants of Health: Community Health Workers or Benefits Navigators	✓	✓
3.15 Social Determinants of Health: Lead Remediation		✓
3.16 Social Determinants of Health: Community Violence Interventions	✓	✓
4: Premium Pay		
4.1 Public Sector Employees		
4.2 Private Sector: Grants to Other Employers		
5: Infrastructure		
5.1 Clean Water: Centralized Wastewater Treatment		
5.2 Clean Water: Centralized Wastewater Collection and Conveyance		
5.3 Clean Water: Decentralized Wastewater		
5.4 Clean Water: Combined Sewer Overflows		
5.5 Clean Water: Other Sewer Infrastructure		
5.6 Clean Water: Stormwater		
5.7 Clean Water: Energy Conservation		
5.8 Clean Water: Water Conservation		
5.9 Clean Water: Nonpoint Source		
5.10 Drinking water: Treatment		
5.11 Drinking water: Transmission & Distribution		
5.12 Drinking water: Transmission & Distribution: Lead Remediation		
5.13 Drinking water: Source		
5.14 Drinking water: Storage		
5.15 Drinking water: Other water infrastructure		
5.16 Broadband: "Last Mile" projects		
5.17 Broadband: Other projects		

6: Revenue Replacement	Use of Evidence*	Disadvantaged Communities**
6.1 Provision of Government Services		
7: Administrative		
7.1 Administrative Expenses		
7.2 Evaluation and Data Analysis		
7.3 Transfers to Other Units of Government		
7.4 Transfers to Non-entitlement Units (States and territories only)		

Section # 4: Project Demographic Distribution



Purple areas represent a QCT

HUD defines as a QCT as having “50% of households with incomes below 60 percent of the Area Median Gross Income (AMGI) or have a poverty rate of 25% or more”

Recipients must report whether certain types of projects are targeted to economically disadvantaged communities, as defined by HUD’s Qualified Census Tract. The funds for a project count as being targeted towards economically disadvantaged communities if the project funds are spent on:

- A program or service is provided at a physical location in a Qualified Census Tract (for multi-site projects, if a majority of sites are within Qualified Census Tracts)
- A program or service where the primary intended beneficiaries live within a Qualified Census Tract
- A program or service for which the eligibility criteria are such that the primary intended beneficiaries earn less than 60% of the median income for the relevant jurisdiction (e.g., State, county, metropolitan area, or other jurisdiction)
- A program or service for which the eligibility criteria are such that over 25% of intended beneficiaries are below the federal poverty line.

Recipients do not need to track information on each individual beneficiary

Section # 7: Required Programmatic Data

For all projects listed under these Expenditure Categories, additional programmatic data must be provided in each report

1: Public Health		Use of Evidence	Disadvantaged Communities
1.1	COVID-19 Vaccination		✓
1.2	COVID-19 Testing		✓
1.3	COVID-19 Contact Tracing		
1.4	Prevention in Congregate Settings (Nursing Homes, Prisons/Jails, Dense Work Sites, Schools, etc.)	✓	
1.5	Personal Protective Equipment		
1.6	Medical Expenses (including Alternative Care Facilities)		
1.7	Capital Investments or Physical Plant Changes to Public Facilities that respond to the COVID-19 public health emergency		
1.8	Other COVID-19 Public Health Expenses (including Communications, Enforcement, Isolation/Quarantine)		
1.9	Payroll Costs for Public Health, Safety, and Other Public Sector Staff Responding to COVID-19		
1.10	Mental Health Services	✓	
1.11	Substance Use Services	✓	
1.12	Other Public Health Services		
2: Negative Economic Impacts			
2.1	Household Assistance: Food Programs	✓	✓
2.2	Household Assistance: Rent, Mortgage, and Utility Aid	✓	✓
2.3	Household Assistance: Cash Transfers	✓	✓
2.4	Household Assistance: Internet Access Programs	✓	✓
2.5	Household Assistance: Eviction Prevention	✓	✓
2.6	Unemployment Benefits or Cash Assistance to Unemployed Workers	✓	
2.7	Job Training Assistance (e.g., Sectoral job-training, Subsidized Employment, Employment Supports or Incentives)	✓	✓
2.8	Contributions to UI Trust Funds		
2.9	Small Business Economic Assistance (General)	✓	✓
2.10	Aid to Nonprofit Organizations	✓	
2.11	Aid to Tourism, Travel, or Hospitality		
2.12	Aid to Other Impacted Industries		
2.13	Other Economic Support	✓	✓
2.14	Rehiring Public Sector Staff		

3: Services to Disproportionately Impacted Communities		Use of Evidence	Disadvantaged Communities
3.1	Education Assistance: Early Learning	✓	✓
3.2	Education Assistance: Aid to High-Poverty Districts		✓
3.3	Education Assistance: Academic Services	✓	✓
3.4	Education Assistance: Social, Emotional, and Mental Health Services	✓	✓
3.5	Education Assistance: Other	✓	✓
3.6	Healthy Childhood Environments: Child Care	✓	✓
3.7	Healthy Childhood Environments: Home Visiting	✓	✓
3.8	Healthy Childhood Environments: Services to Foster Youth or Families Involved in Child Welfare System	✓	✓
3.9	Healthy Childhood Environments: Other	✓	✓
3.10	Housing Support: Affordable Housing	✓	✓
3.11	Housing Support: Services for Unhoused Persons	✓	✓
3.12	Housing Support: Other Housing Assistance	✓	✓
3.13	Social Determinants of Health: Other	✓	✓
3.14	Social Determinants of Health: Community Health Workers or Benefits Navigators	✓	✓
3.15	Social Determinants of Health: Lead Remediation		✓
3.16	Social Determinants of Health: Community Violence Interventions	✓	✓
4: Premium Pay			
4.1	Public Sector Employees		
4.2	Private Sector: Grants to Other Employers		
5: Infrastructure			
5.1	Clean Water: Centralized Wastewater Treatment		
5.2	Clean Water: Centralized Wastewater Collection and Conveyance		
5.3	Clean Water: Decentralized Wastewater		
5.4	Clean Water: Combined Sewer Overflows		
5.5	Clean Water: Other Sewer Infrastructure		
5.6	Clean Water: Stormwater		
5.7	Clean Water: Energy Conservation		
5.8	Clean Water: Water Conservation		
5.9	Clean Water: Nonpoint Source		
5.10	Drinking water: Treatment		
5.11	Drinking water: Transmission & Distribution		
5.12	Drinking water: Transmission & Distribution: Lead Remediation		
5.13	Drinking water: Source		
5.14	Drinking water: Storage		
5.15	Drinking water: Other water infrastructure		
5.16	Broadband: "Last Mile" projects		
5.17	Broadband: Other projects		

6: Revenue Replacement		Use of Evidence	Disadvantaged Communities
6.1	Provision of Government Services		
7: Administrative			
7.1	Administrative Expenses		
7.2	Evaluation and Data Analysis		
7.3	Transfers to Other Units of Government		
7.4	Transfers to Non-entitlement Units (States and territories only)		

Section # 7: Required Programmatic Data

For all projects listed under these Expenditure Categories, additional programmatic data must be provided in each report

1: Public Health	Use of Evidence	Disadvantaged Communities
1.9 Payroll Costs for Public Health, Safety, and Other Public Sector Staff Responding to COVID-19		

1

- # of government FTEs responding to COVID-19 supported under this authority

2: Negative Economic Impacts		
2.1 Household Assistance: Food Programs	✓	✓
2.2 Household Assistance: Rent, Mortgage, and Utility Aid	✓	✓
2.3 Household Assistance: Cash Transfers	✓	✓
2.4 Household Assistance: Internet Access Programs	✓	✓
2.5 Household Assistance: Eviction Prevention	✓	✓
2.9 Small Business Economic Assistance (General)	✓	✓
2.11 Aid to Tourism, Travel, or Hospitality		
2.12 Aid to Other Impacted Industries		
2.14 Rehiring Public Sector Staff		

3: Services to Disproportionately Impacted Communities	Use of Evidence	Disadvantaged Communities
3.1 Education Assistance: Early Learning	✓	✓
3.2 Education Assistance: Aid to High-Poverty Districts		✓
3.3 Education Assistance: Academic Services	✓	✓
3.4 Education Assistance: Social, Emotional, and Mental Health Services	✓	✓
3.5 Education Assistance: Other	✓	✓

4: Premium Pay
4.1 Public Sector Employees
4.2 Private Sector: Grants to Other Employers

6: Revenue Replacement	Use of Evidence	Disadvantaged Communities
6.1 Provision of Government Services		

Section # 7: Required Programmatic Data

For all projects listed under these Expenditure Categories, additional programmatic data must be provided in each report

1: Public Health	Use of Evidence	Disadvantaged Communities
1.9 Payroll Costs for Public Health, Safety, and Other Public Sector Staff Responding to COVID-19		

2: Negative Economic Impacts		
2.1 Household Assistance: Food Programs	✓	✓
2.2 Household Assistance: Rent, Mortgage, and Utility Aid	✓	✓
2.3 Household Assistance: Cash Transfers	✓	✓
2.4 Household Assistance: Internet Access Programs	✓	✓
2.5 Household Assistance: Eviction Prevention	✓	✓
2.9 Small Business Economic Assistance (General)	✓	✓
2.11 Aid to Tourism, Travel, or Hospitality		
2.12 Aid to Other Impacted Industries		
2.14 Rehiring Public Sector Staff		

3: Services to Disproportionately Impacted Communities	Use of Evidence	Disadvantaged Communities
3.1 Education Assistance: Early Learning	✓	✓
3.2 Education Assistance: Aid to High-Poverty Districts		✓
3.3 Education Assistance: Academic Services	✓	✓
3.4 Education Assistance: Social, Emotional, and Mental Health Services	✓	✓
3.5 Education Assistance: Other	✓	✓

4: Premium Pay
4.1 Public Sector Employees
4.2 Private Sector: Grants to Other Employers

6: Revenue Replacement	Use of Evidence	Disadvantaged Communities
6.1 Provision of Government Services		

2

- # of individuals served (by program if recipient establishes multiple separate household assistance programs) *

*indicates additional narrative description required. See Compliance & Reporting Guidance pages 19-20 for more detail

Section # 7: Required Programmatic Data

For all projects listed under these Expenditure Categories, additional programmatic data must be provided in each report

1: Public Health	Use of Evidence	Disadvantaged Communities
1.9 Payroll Costs for Public Health, Safety, and Other Public Sector Staff Responding to COVID-19		

2: Negative Economic Impacts		
2.1 Household Assistance: Food Programs	✓	✓
2.2 Household Assistance: Rent, Mortgage, and Utility Aid	✓	✓
2.3 Household Assistance: Cash Transfers	✓	✓
2.4 Household Assistance: Internet Access Programs	✓	✓
2.5 Household Assistance: Eviction Prevention	✓	✓
2.9 Small Business Economic Assistance (General)	✓	✓
2.11 Aid to Tourism, Travel, or Hospitality		
2.12 Aid to Other Impacted Industries		
2.14 Rehiring Public Sector Staff		

3: Services to Disproportionately Impacted Communities	Use of Evidence	Disadvantaged Communities
3.1 Education Assistance: Early Learning	✓	✓
3.2 Education Assistance: Aid to High-Poverty Districts		✓
3.3 Education Assistance: Academic Services	✓	✓
3.4 Education Assistance: Social, Emotional, and Mental Health Services	✓	✓
3.5 Education Assistance: Other	✓	✓

4: Premium Pay
4.1 Public Sector Employees
4.2 Private Sector: Grants to Other Employers

6: Revenue Replacement	Use of Evidence	Disadvantaged Communities
6.1 Provision of Government Services		

3

- # of small businesses served (by program if recipient establishes multiple separate small businesses assistance programs) *

*indicates additional narrative description required. See Compliance & Reporting Guidance pages 19-20 for more detail

Section # 7: Required Programmatic Data

For all projects listed under these Expenditure Categories, additional programmatic data must be provided in each report

1: Public Health	Use of Evidence	Disadvantaged Communities
1.9 Payroll Costs for Public Health, Safety, and Other Public Sector Staff Responding to COVID-19		

2: Negative Economic Impacts		
2.1 Household Assistance: Food Programs	✓	✓
2.2 Household Assistance: Rent, Mortgage, and Utility Aid	✓	✓
2.3 Household Assistance: Cash Transfers	✓	✓
2.4 Household Assistance: Internet Access Programs	✓	✓
2.5 Household Assistance: Eviction Prevention	✓	✓
2.9 Small Business Economic Assistance (General)	✓	✓
2.11 Aid to Tourism, Travel, or Hospitality		
2.12 Aid to Other Impacted Industries		
2.14 Rehiring Public Sector Staff		

3: Services to Disproportionately Impacted Communities	Use of Evidence	Disadvantaged Communities
3.1 Education Assistance: Early Learning	✓	✓
3.2 Education Assistance: Aid to High-Poverty Districts		✓
3.3 Education Assistance: Academic Services	✓	✓
3.4 Education Assistance: Social, Emotional, and Mental Health Services	✓	✓
3.5 Education Assistance: Other	✓	✓

4: Premium Pay
4.1 Public Sector Employees
4.2 Private Sector: Grants to Other Employers

6: Revenue Replacement	Use of Evidence	Disadvantaged Communities
6.1 Provision of Government Services		

4 For each subaward:
- Sector of employer
- Purpose of funds (e.g., payroll support, safety measure implementation)

*

*indicates additional narrative description required. See Compliance & Reporting Guidance pages 19-20 for more detail

Section # 7: Required Programmatic Data

For all projects listed under these Expenditure Categories, additional programmatic data must be provided in each report

1: Public Health	Use of Evidence	Disadvantaged Communities
1.9 Payroll Costs for Public Health, Safety, and Other Public Sector Staff Responding to COVID-19		

2: Negative Economic Impacts		
2.1 Household Assistance: Food Programs	✓	✓
2.2 Household Assistance: Rent, Mortgage, and Utility Aid	✓	✓
2.3 Household Assistance: Cash Transfers	✓	✓
2.4 Household Assistance: Internet Access Programs	✓	✓
2.5 Household Assistance: Eviction Prevention	✓	✓
2.9 Small Business Economic Assistance (General)	✓	✓
2.11 Aid to Tourism, Travel, or Hospitality		
2.12 Aid to Other Impacted Industries		
2.14 Rehiring Public Sector Staff		

5 • #of FTEs rehired by governments under this authority

3: Services to Disproportionately Impacted Communities	Use of Evidence	Disadvantaged Communities
3.1 Education Assistance: Early Learning	✓	✓
3.2 Education Assistance: Aid to High-Poverty Districts		✓
3.3 Education Assistance: Academic Services	✓	✓
3.4 Education Assistance: Social, Emotional, and Mental Health Services	✓	✓
3.5 Education Assistance: Other	✓	✓

4: Premium Pay
4.1 Public Sector Employees
4.2 Private Sector: Grants to Other Employers

6: Revenue Replacement	Use of Evidence	Disadvantaged Communities
6.1 Provision of Government Services		

*indicates additional narrative description required. See Compliance & Reporting Guidance pages 19-20 for more detail

Section # 7: Required Programmatic Data

For all projects listed under these Expenditure Categories, additional programmatic data must be provided in each report

1: Public Health	Use of Evidence	Disadvantaged Communities
1.9 Payroll Costs for Public Health, Safety, and Other Public Sector Staff Responding to COVID-19		

2: Negative Economic Impacts		
2.1 Household Assistance: Food Programs	✓	✓
2.2 Household Assistance: Rent, Mortgage, and Utility Aid	✓	✓
2.3 Household Assistance: Cash Transfers	✓	✓
2.4 Household Assistance: Internet Access Programs	✓	✓
2.5 Household Assistance: Eviction Prevention	✓	✓
2.9 Small Business Economic Assistance (General)	✓	✓
2.11 Aid to Tourism, Travel, or Hospitality		
2.12 Aid to Other Impacted Industries		
2.14 Rehiring Public Sector Staff		

3: Services to Disproportionately Impacted Communities	Use of Evidence	Disadvantaged Communities
3.1 Education Assistance: Early Learning	✓	✓
3.2 Education Assistance: Aid to High-Poverty Districts		✓
3.3 Education Assistance: Academic Services	✓	✓
3.4 Education Assistance: Social, Emotional, and Mental Health Services	✓	✓
3.5 Education Assistance: Other	✓	✓

6

- National Center for Education Statistics (“NCES”) School ID or NCES District ID. This can allow evaluators to link data from the NCES to look at school-level demographics and, eventually, student performance.

4: Premium Pay
4.1 Public Sector Employees
4.2 Private Sector: Grants to Other Employers

6: Revenue Replacement	Use of Evidence	Disadvantaged Communities
6.1 Provision of Government Services		

*indicates additional narrative description required. See Compliance & Reporting Guidance pages 19-20 for more detail

Section # 7: Required Programmatic Data

For all projects listed under these Expenditure Categories, additional programmatic data must be provided in each report

1: Public Health	Use of Evidence	Disadvantaged Communities
1.9 Payroll Costs for Public Health, Safety, and Other Public Sector Staff Responding to COVID-19		

2: Negative Economic Impacts		
2.1 Household Assistance: Food Programs	✓	✓
2.2 Household Assistance: Rent, Mortgage, and Utility Aid	✓	✓
2.3 Household Assistance: Cash Transfers	✓	✓
2.4 Household Assistance: Internet Access Programs	✓	✓
2.5 Household Assistance: Eviction Prevention	✓	✓
2.9 Small Business Economic Assistance (General)	✓	✓
2.11 Aid to Tourism, Travel, or Hospitality		
2.12 Aid to Other Impacted Industries		
2.14 Rehiring Public Sector Staff		

3: Services to Disproportionately Impacted Communities	Use of Evidence	Disadvantaged Communities
3.1 Education Assistance: Early Learning	✓	✓
3.2 Education Assistance: Aid to High-Poverty Districts		✓
3.3 Education Assistance: Academic Services	✓	✓
3.4 Education Assistance: Social, Emotional, and Mental Health Services	✓	✓
3.5 Education Assistance: Other	✓	✓

4: Premium Pay
4.1 Public Sector Employees
4.2 Private Sector: Grants to Other Employers

6: Revenue Replacement	Use of Evidence	Disadvantaged Communities
6.1 Provision of Government Services		

7

- List of sectors designated as critical to the health and well-being of residents
- # of workers to be served
- Employer sector for all subawards to third-party employers

*

*indicates additional narrative description required. See Compliance & Reporting Guidance pages 19-20 for more detail

Section # 7: Required Programmatic Data

For all projects listed under these Expenditure Categories, additional programmatic data must be provided in each report

1: Public Health	Use of Evidence	Disadvantaged Communities
1.9 Payroll Costs for Public Health, Safety, and Other Public Sector Staff Responding to COVID-19		

2: Negative Economic Impacts		
2.1 Household Assistance: Food Programs	✓	✓
2.2 Household Assistance: Rent, Mortgage, and Utility Aid	✓	✓
2.3 Household Assistance: Cash Transfers	✓	✓
2.4 Household Assistance: Internet Access Programs	✓	✓
2.5 Household Assistance: Eviction Prevention	✓	✓
2.9 Small Business Economic Assistance (General)	✓	✓
2.11 Aid to Tourism, Travel, or Hospitality		
2.12 Aid to Other Impacted Industries		
2.14 Rehiring Public Sector Staff		

3: Services to Disproportionately Impacted Communities	Use of Evidence	Disadvantaged Communities
3.1 Education Assistance: Early Learning	✓	✓
3.2 Education Assistance: Aid to High-Poverty Districts		✓
3.3 Education Assistance: Academic Services	✓	✓
3.4 Education Assistance: Social, Emotional, and Mental Health Services	✓	✓
3.5 Education Assistance: Other	✓	✓

4: Premium Pay
4.1 Public Sector Employees
4.2 Private Sector: Grants to Other Employers

6: Revenue Replacement	Use of Evidence	Disadvantaged Communities
6.1 Provision of Government Services		

8

- General revenue collected over the past 12 months as of the most recent calculation date
- Calculated revenue loss due to the Covid-19 public health emergency

*

*indicates additional narrative description required. See Compliance & Reporting Guidance pages 19-20 for more detail

Section # 7: Required Programmatic Data

For all projects listed under these Expenditure Categories, additional programmatic data must be provided in each report

1: Public Health	Use of Evidence	Disadvantaged Communities
1.9 Payroll Costs for Public Health, Safety, and Other Public Sector Staff Responding to COVID-19		

1. • # of government FTEs responding to COVID-19 supported under this authority

2: Negative Economic Impacts		
2.1 Household Assistance: Food Programs	✓	✓
2.2 Household Assistance: Rent, Mortgage, and Utility Aid	✓	✓
2.3 Household Assistance: Cash Transfers	✓	✓
2.4 Household Assistance: Internet Access Programs	✓	✓
2.5 Household Assistance: Eviction Prevention	✓	✓
2.9 Small Business Economic Assistance (General)	✓	✓
2.11 Aid to Tourism, Travel, or Hospitality		
2.12 Aid to Other Impacted Industries		
2.14 Rehiring Public Sector Staff		

5. • #of FTEs rehired by governments under this authority

3: Services to Disproportionately Impacted Communities	Use of Evidence	Disadvantaged Communities
3.1 Education Assistance: Early Learning	✓	✓
3.2 Education Assistance: Aid to High-Poverty Districts		✓
3.3 Education Assistance: Academic Services	✓	✓
3.4 Education Assistance: Social, Emotional, and Mental Health Services	✓	✓
3.5 Education Assistance: Other	✓	✓

6. • National Center for Education Statistics ("NCES") School ID or NCES District ID. This can allow evaluators to link data from the NCES to look at school-level demographics and, eventually, student performance.

4: Premium Pay	
4.1 Public Sector Employees	
4.2 Private Sector: Grants to Other Employers	

2. • # of individuals served (by program if recipient establishes multiple separate household assistance programs) *

3. • # of small businesses served (by program if recipient establishes multiple separate small businesses assistance programs) *

4. For each subaward: *
   - Sector of employer
   - Purpose of funds (e.g., payroll support, safety measure implementation)

6: Revenue Replacement	Use of Evidence	Disadvantaged Communities
6.1 Provision of Government Services		

8. • General revenue collected over the past 12 months as of the most recent calculation date *
   - Calculated revenue loss due to the Covid-19 public health emergency

7. • List of sectors designated as critical to the health and well-being of residents *
   - # of workers to be served
   - Employer sector for all subawards to third-party employers

*indicates additional narrative description required. See Compliance & Reporting Guidance pages 19-20 for more detail

Section # 8: Required Programmatic Data for Infrastructure Projects

For all projects listed under the Water, Sewer, and Broadband Expenditure Categories, more detailed project-level information is required.

1: Public Health		Use of Evidence	Disadvantaged Communities
1.1	COVID-19 Vaccination		✓
1.2	COVID-19 Testing		✓
1.3	COVID-19 Contact Tracing		
1.4	Prevention in Congregate Settings (Nursing Homes, Prisons/Jails, Dense Work Sites, Schools, etc.)	✓	
1.5	Personal Protective Equipment		
1.6	Medical Expenses (including Alternative Care Facilities)		
1.7	Capital Investments or Physical Plant Changes to Public Facilities that respond to the COVID-19 public health emergency		
1.8	Other COVID-19 Public Health Expenses (including Communications, Enforcement, Isolation/Quarantine)		
1.9	Payroll Costs for Public Health, Safety, and Other Public Sector Staff Responding to COVID-19		
1.10	Mental Health Services	✓	
1.11	Substance Use Services	✓	
1.12	Other Public Health Services		
2: Negative Economic Impacts			
2.1	Household Assistance: Food Programs	✓	✓
2.2	Household Assistance: Rent, Mortgage, and Utility Aid	✓	✓
2.3	Household Assistance: Cash Transfers	✓	✓
2.4	Household Assistance: Internet Access Programs	✓	✓
2.5	Household Assistance: Eviction Prevention	✓	✓
2.6	Unemployment Benefits or Cash Assistance to Unemployed Workers	✓	
2.7	Job Training Assistance (e.g., Sectoral job-training, Subsidized Employment, Employment Supports or Incentives)	✓	✓
2.8	Contributions to UI Trust Funds		
2.9	Small Business Economic Assistance (General)	✓	✓
2.10	Aid to Nonprofit Organizations	✓	
2.11	Aid to Tourism, Travel, or Hospitality		
2.12	Aid to Other Impacted Industries		
2.13	Other Economic Support	✓	✓
2.14	Rehiring Public Sector Staff		

3: Services to Disproportionately Impacted Communities		Use of Evidence	Disadvantaged Communities
3.1	Education Assistance: Early Learning	✓	✓
3.2	Education Assistance: Aid to High-Poverty Districts		✓
3.3	Education Assistance: Academic Services	✓	✓
3.4	Education Assistance: Social, Emotional, and Mental Health Services	✓	✓
3.5	Education Assistance: Other	✓	✓
3.6	Healthy Childhood Environments: Child Care	✓	✓
3.7	Healthy Childhood Environments: Home Visiting	✓	✓
3.8	Healthy Childhood Environments: Services to Foster Youth or Families Involved in Child Welfare System	✓	✓
3.9	Healthy Childhood Environments: Other	✓	✓
3.10	Housing Support: Affordable Housing	✓	✓
3.11	Housing Support: Services for Unhoused Persons	✓	✓
3.12	Housing Support: Other Housing Assistance	✓	✓
3.13	Social Determinants of Health: Other	✓	✓
3.14	Social Determinants of Health: Community Health Workers or Benefits Navigators	✓	✓
3.15	Social Determinants of Health: Lead Remediation		✓
3.16	Social Determinants of Health: Community Violence Interventions	✓	✓
4: Premium Pay			
4.1	Public Sector Employees		
4.2	Private Sector: Grants to Other Employers		
5: Infrastructure			
5.1	Clean Water: Centralized Wastewater Treatment		
5.2	Clean Water: Centralized Wastewater Collection and Conveyance		
5.3	Clean Water: Decentralized Wastewater		
5.4	Clean Water: Combined Sewer Overflows		
5.5	Clean Water: Other Sewer Infrastructure		
5.6	Clean Water: Stormwater		
5.7	Clean Water: Energy Conservation		
5.8	Clean Water: Water Conservation		
5.9	Clean Water: Nonpoint Source		
5.10	Drinking water: Treatment		
5.11	Drinking water: Transmission & Distribution		
5.12	Drinking water: Transmission & Distribution: Lead Remediation		
5.13	Drinking water: Source		
5.14	Drinking water: Storage		
5.15	Drinking water: Other water infrastructure		
5.16	Broadband: "Last Mile" projects		
5.17	Broadband: Other projects		

6: Revenue Replacement		Use of Evidence	Disadvantaged Communities
6.1	Provision of Government Services		
7: Administrative			
7.1	Administrative Expenses		
7.2	Evaluation and Data Analysis		
7.3	Transfers to Other Units of Government		
7.4	Transfers to Non-entitlement Units (States and territories only)		

Section # 8: Required Programmatic Data for Infrastructure Projects

For all projects listed under the Water, Sewer, and Broadband Expenditure Categories, more detailed project-level information is required. Each project will be required to report expenditure data and the following information:

1

All infrastructure project must report:

- Projected/actual construction start date (month/year)
- Projected/actual initiation of operations date (month/year)
- Location (for broadband, geospatial location data)
- For projects over $10 million:
  - # of employees of contractors and sub-contractors
  - # of employees on the project hired directly and hired through a third party
  - Wages and benefits of workers by classification

2

For all Water and Sewer projects:

- National Pollutant Discharge Elimination System (NPDES) Permit Number (if applicable; for projects aligned with the Clean Water State Revolving Fund)
- Public Water System (PWS) ID number (if applicable; for projects aligned with the Drinking Water State Revolving Fund)

5: Infrastructure	
5.1	Clean Water: Centralized Wastewater Treatment
5.2	Clean Water: Centralized Wastewater Collection and Conveyance
5.3	Clean Water: Decentralized Wastewater
5.4	Clean Water: Combined Sewer Overflows
5.5	Clean Water: Other Sewer Infrastructure
5.6	Clean Water: Stormwater
5.7	Clean Water: Energy Conservation
5.8	Clean Water: Water Conservation
5.9	Clean Water: Nonpoint Source
5.10	Drinking water: Treatment
5.11	Drinking water: Transmission & Distribution
5.12	Drinking water: Transmission & Distribution: Lead Remediation
5.13	Drinking water: Source
5.14	Drinking water: Storage
5.15	Drinking water: Other water infrastructure
5.16	Broadband: "Last Mile" projects
5.17	Broadband: Other projects

3

For all Broadband projects:

- Speeds/pricing tiers to be offered, including the speed/pricing of its affordability offering
- Technology to be deployed
- Miles of fiber
- Cost per mile
- Cost per passing
- # of households projected to have increased access to broadband meeting the minimum speed standards in areas that previously lacked access to service of at least 25 Mbps download and 3 Mbps upload
- # of institutions and businesses projected to have increased access to broadband meeting the minimum speed standards in areas that previously lacked access to service of at least 25 Mbps download and 3 Mbps upload

Appendix

Upcoming Technical Assistance Webinars

- **Reporting Webinar:** The webinar will be open to everyone on general reporting requirements and will also go over the Interim Report, which is due for Metro Cities by August 31.

  Dates: **August 24th from 10-11am**

- **Open Office Hours:** Open hour for anyone to come with questions

  Dates: **August 19th; August 26th from 10-11am**

August						
Sun	**Mon**	**Tue**	**Wed**	**Thu**	**Fri**	**Sat**
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	**24**	25	**26**	27	28
29	30	31				

Resources

- U.S. Treasury State and Local Fiscal Recovery Fund Webpage
- U.S. Treasury State and Local Fiscal Recovery Fund FAQs
- U.S. Treasury Portal User Guide for Recipients
- U.S. Treasury Compliance & Reporting Guidance
- State of Illinois Non-Entitlement Unit Webpage
- Cook County ARPA Webpage

Questions?

You may submit any additional questions by scanning the QR code or using this link:
https://forms.office.com/r/3wK94kCCfi

Or send us an email at: SuburbanCOVIDFundingQuestions@cookcountyil.gov